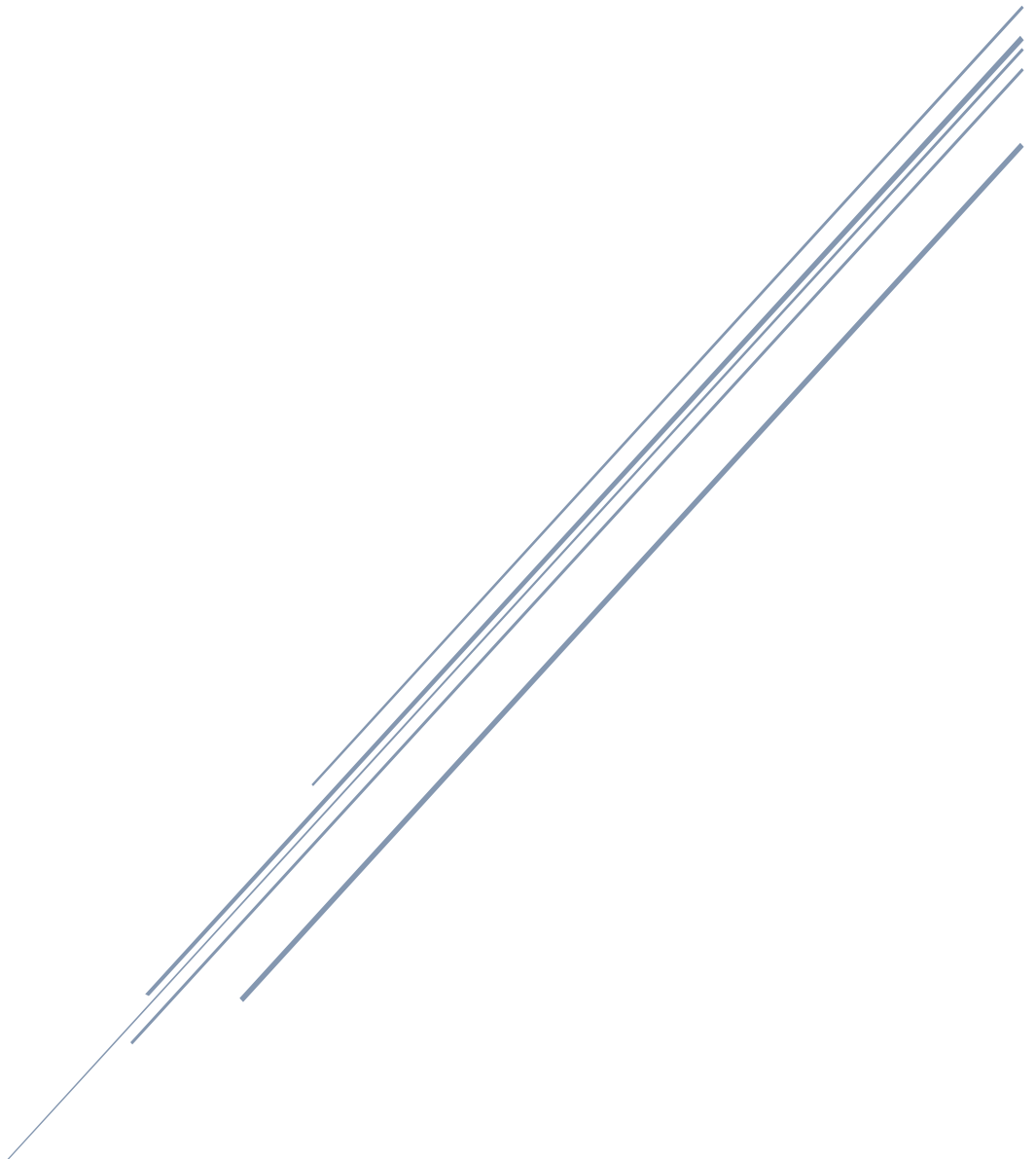


# PINGPONG INTELLIGENCE LIMITED

## Corporate Account Application Form



PingPong Intelligence Limited (CE number: BOW876) is regulated by the Hong Kong Securities & Futures Commission (“SFC”) to carry out type 3 regulated activities in Leveraged Foreign Exchange Trading

### Account Opening Method

To open your leveraged foreign exchange trading account at PingPong Intelligence Limited (“Ping Pong Intelligence”), you can either:

**1. In Person** *(Please note that the application must be submitted by at least one authorized signer.)*

Contact us at (852) 2696 7999 or by email to cs@apifx.com to make an appointment with PingPong Intelligence representative and bring along the following required documents:

**2. By Post**

Complete and sign this Application Form and post to PingPong Intelligence office with the following required documents.

(Address: Unit 07, 12/F., Emperor Group Centre, 288 Hennessy Road, Wanchai, Hong Kong)

**Required Documents**

	Company Registered in Hong Kong	Company Registered outside Hong Kong
<b>Original or Certified True Copy* of Below Documents</b>		
Company Registration	<ul style="list-style-type: none"> <li>• Business Registration (BR) Certificate</li> <li>• Certificate of Incorporation</li> <li>• Memorandum &amp; Article of Association</li> <li>• Latest Annual Return (NAR1) &amp; subsequent form(s) filed to Hong Kong Company Registry</li> </ul>	<ul style="list-style-type: none"> <li>• Certificate of Incorporation</li> <li>• Memorandum &amp; Article of Association</li> <li>• Register of Directors</li> <li>• Register of Members/Shareholders</li> <li>• Certificate of Incumbency issued by the registered agent in the place of incorporation within the past 3 months</li> </ul>
Board Resolution	<ul style="list-style-type: none"> <li>• Board Resolution (For account opening, appointment of authorized signer, authorized trading persons and other operation instructions)</li> </ul>	<ul style="list-style-type: none"> <li>• Board Resolution (For account opening, appointment of authorized signer, authorized trading persons and other operation instructions)</li> </ul>
Address Proof	<ul style="list-style-type: none"> <li>• Address Proof of Principal Business Address within last 3 months</li> </ul>	<ul style="list-style-type: none"> <li>• Address Proof of Principal Business Address within last 3 months</li> </ul>
Key Person Information	<ul style="list-style-type: none"> <li>• ID/Passport and Address Proof within last 3 months of Director(s), Authorized Signer(s), Authorized Trading Person(s) and Ultimate Beneficial Owner(s) with more than 25% ownership</li> </ul>	<ul style="list-style-type: none"> <li>• ID/Passport and Address Proof within last 3 months of Director(s), Authorized Signer(s), Authorized Trading Person(s) and Ultimate Beneficial Owner(s) with more than 25% ownership</li> </ul>
Financial Statement	<ul style="list-style-type: none"> <li>• Latest Audited Accounts</li> </ul>	<ul style="list-style-type: none"> <li>• Latest Audited Accounts</li> </ul>
<b>Original of Below Documents</b>		
Organization Chart	<ul style="list-style-type: none"> <li>• Organization Structure Chart showing all the Beneficial Owners with more than 25% ownership certified by a director of the company</li> </ul>	<ul style="list-style-type: none"> <li>• Organization Structure Chart showing all the Beneficial Owners with more than 25% ownership certified by a director of the company</li> </ul>
Tax Residency	<ul style="list-style-type: none"> <li>• FATCA form and Self-Certification forms for entity and controlling persons</li> <li>• W-8BEN-E form</li> </ul>	<ul style="list-style-type: none"> <li>• FATCA form and Self-Certification forms for entity and controlling persons</li> <li>• W-8BEN-E form</li> </ul>

\*A **Certified True Copy** means the document has been certified as a true copy by a professional such as practising lawyers, practising accountants, notary public in Hong Kong or an equivalent jurisdiction of Hong Kong.

**IMPORTANT: Before opening an account, you should have read and understood Term of Business and Risk Disclosure Statement.**

If you have any questions regarding the completion of this Application Form or which documents to submit, please contact us at (852) 2696 7999 or email to cs@apifx.com

Section 1 – Client Particular		
Type of Incorporation: <input type="checkbox"/> Limited Company <input type="checkbox"/> Partnership <input type="checkbox"/> Sole Proprietorship		
Company Legal Name	Date of Incorporation	
Certificate of Incorporation Number	Country of Incorporation	
Nature of Business	Business Phone Number	
Registered Office Address		
Business Address (If different from the Registered Office Address, please provide address proof)		
Email address (for communication & delivery of electronic statement)		
Preferred Language: English		
Section 2 – Authorized Person Information		
1. Title: <input type="checkbox"/> Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Ms. <input type="checkbox"/> Dr.		
Last Name	First Name	Chinese Name
Identification card or Passport No.	Issuing Country	Nationality
Date of Birth	Place of Birth	Country of Residence
Email address (for communication & delivery of electronic statement)		Contact Number
Residential Address (P.O. Box is not accepted)		
Permanent Address (if different from residential address)		
Employment Status (Choose ONE only)		
<input type="checkbox"/> Employed <input type="checkbox"/> Unemployed <input type="checkbox"/> Self-Employed <input type="checkbox"/> Retired <input type="checkbox"/> Others, please specify: _____		
Employer Name	Nature of Business	

Occupation/Position		Year of Service	Office Phone No.
Office Address			
2. Title: <input type="checkbox"/> Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Ms. <input type="checkbox"/> Dr.			
Last Name	First Name	Chinese Name	
Identification card or Passport No.	Issuing Country	Nationality	
Date of Birth	Place of Birth	Country of Residence	
Email address		Contact Number	
Residential Address (P.O. Box is not accepted)			
Permanent Address (if different from residential address)			
Employment Status (Choose ONE only)			
<input type="checkbox"/> Employed <input type="checkbox"/> Unemployed <input type="checkbox"/> Self-Employed <input type="checkbox"/> Retired <input type="checkbox"/> Others, please specify: _____			
Employer Name		Nature of Business	
Occupation/Position		Year of Service	Office Phone No.
Office Address			
<b>Section 3 – Director(s) and Shareholder(s) Information</b>			
1. Position: <input type="checkbox"/> Director <input type="checkbox"/> Shareholder with more than 25% shareholding			
English Name		Chinese Name	
Identification card or Passport No.	Issuing Country	Nationality	
Date of Birth	Place of Birth	Percentage of Ownership (Shareholder Only)	

Residential Address (P.O. Box is not accepted)		
2. Position: <input type="checkbox"/> Director <input type="checkbox"/> Shareholder with more than 25% shareholding		
English Name		Chinese Name
Identification card or Passport No.	Issuing Country	Nationality
Date of Birth	Place of Birth	Percentage of Ownership (Shareholder Only)
Residential Address (P.O. Box is not accepted)		
3. Position: <input type="checkbox"/> Director <input type="checkbox"/> Shareholder with more than 25% shareholding		
English Name		Chinese Name
Identification card or Passport No.	Issuing Country	Nationality
Date of Birth	Place of Birth	Percentage of Ownership (Shareholder Only)
Residential Address (P.O. Box is not accepted)		
4. Position: <input type="checkbox"/> Director <input type="checkbox"/> Shareholder with more than 25% shareholding		
English Name		Chinese Name
Identification card or Passport No.	Issuing Country	Nationality
Date of Birth	Place of Birth	Percentage of Ownership (Shareholder Only)
Residential Address (P.O. Box is not accepted)		
Section 4 – Ultimate Beneficial Owner(s) of the Account		
Please provide details of ultimate ownership if they are different from the above shareholders		
1. Title: <input type="checkbox"/> Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Ms. <input type="checkbox"/> Dr.		
English Name		Chinese Name

Identification card or Passport No.	Issuing Country	Nationality			
Date of Birth	Place of Birth	Percentage of ownership			
Residential Address (P.O. Box is not accepted)					
2. Title: <input type="checkbox"/> Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Ms. <input type="checkbox"/> Dr.					
English Name			Chinese Name		
Identification card or Passport No.	Issuing Country	Nationality			
Date of Birth	Place of Birth	Percentage of ownership			
Residential Address (P.O. Box is not accepted)					
Section 5 – Corporate Financial Information					
Authorized Capital (HKD)			Paid Up Capital (HKD)		
Net Asset Value (HKD)					
<input type="checkbox"/> < \$500,000		<input type="checkbox"/> \$500,000 - \$999,999		<input type="checkbox"/> \$1,000,000 – \$4,999,999	
<input type="checkbox"/> \$5,000,000 - \$9,999,999		<input type="checkbox"/> \$10,000,000 - \$49,999,999		<input type="checkbox"/> ≥\$50,000,000	
Liquid Asset Value (HKD)					
<input type="checkbox"/> < \$500,000		<input type="checkbox"/> \$500,000 - \$999,999		<input type="checkbox"/> \$1,000,000 – \$4,999,999	
<input type="checkbox"/> \$5,000,000 - \$9,999,999		<input type="checkbox"/> \$10,000,000 - \$49,999,999		<input type="checkbox"/> ≥\$50,000,000	
Latest Annual Income (HKD)					
<input type="checkbox"/> < \$500,000		<input type="checkbox"/> \$500,000 - \$999,999		<input type="checkbox"/> \$1,000,000 – \$4,999,999	
<input type="checkbox"/> \$5,000,000 - \$9,999,999		<input type="checkbox"/> \$10,000,000 - \$49,999,999		<input type="checkbox"/> ≥\$50,000,000	
Source of Trading Funds					
<input type="checkbox"/> Business Income		<input type="checkbox"/> Sales of Property/Asset			
<input type="checkbox"/> Return on Investment		<input type="checkbox"/> Others, please specify: _____			
Section 6 – Investment Experience and Knowledge of Derivative Products					
1. Have you had experience in trading the following products?					
Types of Products		If Yes, Years of Experience			
	No	< 1 year	1-5 years	6-10 years	>10 years
Cash, deposits, certificates of deposits, capital protected products	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Bonds, bonds fund	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Foreign currencies, non-capital protected currency linked structured products and investment products	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Stocks, open-ended funds (excluding both funds and money market funds), non-capital protected equity linked structured products	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Derivatives Warrant	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Callable Bull/Bear Contracts	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Futures / Options	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Leveraged and inverse products	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Synthetic ETFs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Product not authorized by Securities and Futures Commission including private equity funds and OTC derivatives products	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Leveraged Foreign Exchange Trading	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**2. What is your investment objective?**

- Capital Preservation (**Very Low Risk Tolerance Level**)
- Hedging (**Low Risk Tolerance Level**)
- Income (**Medium Risk Tolerance Level**)
- Growth (**High Risk Tolerance Level**)
- Speculation (**Very High Risk Tolerance Level**)

**3. What is your risk tolerance level?**

- Very Low       Low       Medium       High       Very High

**4. Knowledge of derivative products**

	Yes	No												
(a) Have you ever undergone training or attended courses offer by academic or financial institutions on derivative and/or structured products, either in the form of online or classroom? If yes, please specify the name of courses/seminars and year of attendance: _____	<input type="checkbox"/>	<input type="checkbox"/>												
(b) Have your past or current work experience been related to derivative and/or structured products? If yes, please specify: Name of Employer: _____ Occupation: _____ Period of Employment: <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>From</th> <th>Month/ Year</th> <th>To</th> <th>Month/ Year</th> </tr> </thead> <tbody> <tr> <td> </td> <td> </td> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> <td> </td> <td> </td> </tr> </tbody> </table>	From	Month/ Year	To	Month/ Year									<input type="checkbox"/>	<input type="checkbox"/>
From	Month/ Year	To	Month/ Year											
(c) Have you executed at least 5 transactions in any derivative products (whether traded on an exchange or not) within last 3 years?	<input type="checkbox"/>	<input type="checkbox"/>												

**Section 7 –Disclosures**

	Yes	No
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1. Has any of above-mentioned Director(s)/Shareholder(s)/Authorized Person/Beneficial Owner(s) ever declared bankrupt or served with a bankruptcy petition? If yes, please provide details and Certificate of Discharge	<input type="checkbox"/>	<input type="checkbox"/>						
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Name of Director/Authorized Person/ Beneficial Owner</th> <th>Date of Bankruptcy</th> </tr> </thead> <tbody> <tr> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> </tr> </tbody> </table>	Name of Director/Authorized Person/ Beneficial Owner	Date of Bankruptcy						
Name of Director/Authorized Person/ Beneficial Owner	Date of Bankruptcy							

2. Does any of above-mentioned Director(s)/Shareholder(s)/Authorized Person(s)/Beneficial Owner(s) have any relationship with director(s) or employee(s) of PingPong Group? If yes, please provide below details			<input type="checkbox"/>	<input type="checkbox"/>
Name of Director/Authorized Person/Beneficial Owner	Name of director/employee of Ping Pong Group	Relationship		
3. Is any of above-mentioned Director(s)/Shareholder(s)/Authorized Person/Beneficial Owner(s) a director or employee or accredited person of a licensed or registered person of the Securities and Futures Commission ("SFC") or Hong Kong Monetary Authority("HKMA")? If yes, please specify _____			<input type="checkbox"/>	<input type="checkbox"/>
4. Is any of above-mentioned Director(s)/Shareholder(s)/Authorized Person/Beneficial Owner(s) a Politically Exposed Person ("PEP") or related to PEP as defined by the Hong Kong Law? If yes, please provide below details			<input type="checkbox"/>	<input type="checkbox"/>
Name of Director/Authorized Person/ Beneficial Owner		Position and relationship		

**Section 8 - Personal Information Collection Statement**

PingPong Intelligence Limited (“we”, “us” or “our”) is committed to protecting and safeguarding the privacy, confidentiality and security of the personal information of an individual client or a director, officer, employee, agent or other representative of a client (“Client”, “you” or “your”) to whom services and products may be provided. It is our responsibility to comply with the relevant data privacy requirements in Personal Data (Privacy) Ordinance (Cap. 486 of the Laws of Hong Kong) (“PDPO”).

By your use of any website or application operated by us or any of our affiliates or opening an account with us, you give your consent to the collection and use of your personal information by us on an ongoing basis set out in this Personal Information Collection Statement (“Statement”).

**1. Data collection**

- (a) **(General)** We collect your personal information both directly from you and indirectly as a result of your relationship with us from time to time.
- (b) **(Types of data to be collected)** The personal information that we collect and/or hold includes:
  - (i) information that you provide to us during the account opening process such as your personal details, contact information, education and training details, employment details, financial details, investment objectives and experience, bank account details and account details, transaction records and communication records such as your account balances, trading activity, your inquiries, and our responses, and information to verify your identity such as copy of identification document, background information we receive from public records or from other entities not affiliated with us and address proof, correspondence and communication records including your inquiries and our responses (“Personal Data”); and
  - (ii) other information, such as your IP address, web browser type, operating system and your internet service provider, may also be collected indirectly from you in our ordinary course of business relationship with you, for example through online tracking or when you effect transactions with us.
- (c) **(Purpose of data collection)** We may use the information collected for the following purposes (“Purposes”):
  - (i) verify your identity for opening or maintaining an account for our service or products to you (including issuing you with an account number and password);
  - (ii) provide our services and products to you;



- (iii) provide account record including the statements and trade confirmations;
- (iv) conduct credit checks at account opening and regularly thereafter;
- (v) maintain your credit history for present and future reference;
- (vi) meet our internal operational requirements;
- (vii) design our products and services for your use;
- (viii) facilitate account funding and withdrawals, including in connection with margin trading, conduct credit checks at account opening and regularly thereafter;
- (ix) collect outstanding amounts from you whether through the use of cheques or bank transfers;
- (x) carry out statistical and other analysis;
- (xi) operate internal control and/or verification procedures, including procedures in compliance with anti-money laundering laws and regulations;
- (xii) conduct credit and other status checks and assist other institutions to conduct such checks;
- (xiii) perform ongoing administration of your account;
- (xiv) provide you with trading and related services related to leveraged foreign exchange trading;
- (xv) collect any sums outstanding from you and those providing security for your obligations;
- (xvi) market services or products as described in paragraph 3 below;
- (xvii) maintain business records;
- (xviii) meet or comply with any obligations or requirements for disclosing and using data that apply to us or any of our affiliates in accordance with any present or future law, regulation or guideline issued by any legal, regulatory, governmental, tax, law enforcement or other authorities, or self-regulatory or industry bodies or associations; and
- (xix) perform matters directly relating to any purpose listed above.

If you do not provide us with your personal information for these purposes, we may not be able to open an account or to provide any services or products to you.

## 2. Transfer of your personal data

Personal data collected by us relating to you will be kept confidential but we may provide such information (whether provided by you or any other person, and whether provided before or after you open the account) to the following parties, within or outside of Hong Kong, for the purposes set out in paragraph 1 above:

- (a) any affiliates of us;
- (b) any director, officer, employee or associated person of us or our affiliates only when carrying out their respective business activities;
- (c) any agent, contractor, liquidity provider or third party service provider who provides administrative, telecommunications, computer, trading, settlement, payment or clearing, nominee, custodian, anti-money laundering or other services to us or our affiliates;
- (d) any bank or any trustee, registrar or custodian of any bank or custodian account in connection with the provision of any services to you by us or our affiliates;
- (e) any professional advisor, insurer or auditor of us or our affiliates;
- (f) any third party with which you have or propose to have dealings;
- (g) credit reference agencies and, in the event of default, debt collection agencies;
- (h) any actual or proposed person or legal entity to whom we transfer, assign or propose to transfer or assign all or part of our interest and/or obligations in respect of the account or any services provided to you;
- (i) any person to whom we transfer, assign or propose to transfer or assign our interests and/or obligations in respect of the account or any services provided to the client;
- (j) selected companies for the purpose of marketing services or products as described in paragraph 1 above; or
- (k) any person to whom we are required by law, regulation, court order or request from any governmental or regulatory body to provide such data.

### 3. Use of personal data in direct marketing

- (a) (**direct marketing**) We may use your Personal Data to notify you of any new and existing financial services related products, services and promotions that may be of interest to you (“**Direct Marketing**”). We may also use online tracking information for Direct Marketing. We will not use any personal information collected via online tracking information for Direct Marketing.
- (b) (**opt out**) Your provision of Personal Data for Direct Marketing is voluntary and so if you do not wish us to use your Personal Data for Direct Marketing purposes, you can opt out by contacting us through the contact information set out in paragraph 8 below. We will also periodically contact you to notify you of new products, services and promotions we may offer from time to time but you can opt out if you wish.
- (c) (**your consent and acknowledgement**) We intend to use your personal data in direct marketing and we require your consent (which includes an indication of no objection) for that purpose. In this connection, please note that:
  - (i) the name, contact details, age, gender, identity document reference, marital status, products and other service portfolio information, transaction pattern and behaviour, financial background and demographic data of you collected by us from time to time may be used in Direct Marketing;
  - (ii) financial related services and products arising out of or in connection with the business activities of us and our affiliates may be marketed;
  - (iii) the above services and products may be provided by (i) our affiliates, (ii) third party financial institutions, insurers, securities and investment services providers, (iii) any business partners with whom we or our affiliates maintain business referral or other arrangements, and (iv) third party marketing service providers (collectively “**Third Parties**”);
  - (iv) in addition to marketing the above services and products itself, we also intend to provide the Personal Data of you to all or any of the Third Parties for use by them in marketing their services and products, and we require your written consent (which includes an indication of no objection) for that purpose; and
  - (v) if you do not wish us to use or provide to other persons your data for use in direct marketing as described above, you may exercise your “opt-out” right by notifying us.

### 4. Retention of data

We will retain your personal information to the maximum extent permissible under applicable law and will only keep such information for a period which is not longer than necessary and permitted by applicable law.

### 5. Security of data

We are committed to protecting the privacy, confidentiality and security of the personal information we hold by complying with the applicable legal requirements in Hong Kong with respect to managing your personal information. We are equally committed to ensuring that all our employees and agents uphold these obligations. Our data protection policy includes:

- a. we employ physical, electronic, and procedural safeguards in order to protect your personal, financial and account information and to offer you a safe and secure trading environment. When you open an account with us, you are issued a unique account number and password, as well as a unique user id and password for the login;
- b. our employees are required to maintain and protect the confidentiality of your personal information and must follow established procedures to do so; and
- c. we limit access to your personal, financial and account information to those employees and employees of our affiliates who need to know in order to conduct business, including servicing your account. These employees will have access to your account number and user ID. Although certain employees have the ability to reset your account password, they will not have access to your password, as they are not saved in our systems.

## 6. Cookies *policy* Cookies

We use cookies and other technologies (“**cookies**”) on our website to store and track information about you and your actions for the purposes of providing us with data we can use to improve your experience and to know you better. Cookies enable us to capture how you arrived at our website, how often you visit, when you return, which pages on our website you visit, and to recognize when you are logged on when we receive a request from your web browser. In particular,

- a. we may link the information we store in cookies in order to identify information you submit while on our website;
- b. cookies are readable only by us and do not on a standalone basis contain any personal, financial or account information. We cannot and will not gather information about other websites you have visited in the past;
- c. we may share usage information about persons who visit our website with reputable advertising companies for the purpose of targeting our banner advertisements on the internet. The information collected by the advertising company through the use of these pixel tags does not identify you personally;
- d. we may use third party software to track and analyse usage and volume information including page requests, form requests and click paths. The third party may use cookies to track your web browsing behaviour and may implement cookies on behalf of us. Your personal information will not be used by that third party or be further transferred by that third party to any other person; and
- e. all web browsers have settings that allow you to block cookies.

By visiting our website with your web browser set to allow cookies, you consent to our use of cookies as described above. If you choose to block cookies you may use our services, however, some functions and features may be disabled or may not work as they were initially designed to.

## 7. Outsourcing Arrangement

If we engage outsourcing service providers or data processors (whether within or outside Hong Kong), outsourcing service providers or data processors are required to adhere to specific standards, including any standards prescribed by a privacy regulator, to prevent any loss, unauthorized access, use, modification or disclosure, either by contractual provisions or other means.

## 8. Your rights and contacting us

You have the following rights in accordance with the terms of the PDPO:

- a. to check or enquire whether we hold your personal data and to access your data;
- b. to require us to correct any of your personal data which is inaccurate;
- c. to be informed of our policies and practices in relation to personal data and to be informed of the kind of personal data held by us and the main purposes for which data will be used; and
- d. to request us to cease misusing or inappropriate using your personal data for direct marketing purposes by contacting our Data Protection Officer at:

**Data Protection Officer**  
**PingPong Intelligence Limited**  
**Unit 07, 12/F,**  
**Emperor Group Centre,**  
**No. 288 Hennessey Road,**  
**Wan chai, Hong Kong**

or

**E-mail: [DataProtectionHK@pingpongx.com](mailto:DataProtectionHK@pingpongx.com),**

To the extent permitted by applicable law, we reserve the right to refuse unreasonable requests (for example, requests which infringe the privacy of others) according to the terms of the PDPO, we may charge a reasonable fee for the processing of any such data access request.

## 9. Miscellaneous

- (a) **(no limitation of your rights)** Nothing in this Statement shall limit your rights under the PDPO.
- (b) **(change of this Statement)** In the event any material changes are made to this Statement, the revised statement will be posted on our website as soon as reasonably practical. By your continued use of the website and/or by opening an account with us, you hereby agree to accept this as notice provided to you.
- (c) **(English version prevails)** Should there be any inconsistencies between the English version and the Chinese version of this Statement, the English version shall prevail.

By clicking this box, I do not object to the use of my Personal Data for Direct Marketing.

## Section 9 – Declaration and Signature

1. I/We have examined the information provided by me/us on this application form, and that it is true, correct and complete. I/We agree that all personal data provided may be used by you in connection with verification/administration procedures, and disclosed for any other purposes in accordance with the Personal Data (Privacy) Ordinance. I/We authorize you to contact anyone, including banks, brokers or any credit agency, at any time, for the purpose of verifying the information provided on this application form. I/We have read and agree to the data protection provisions set out in clause @@ of the Terms of Business.
2. I/We hereby declare and warrant that the information given in this form is complete, true and accurate, and that I/we have not willfully withheld any material facts/information. I/We shall give you written notice of any changes in our particulars.
3. I/We am/are authorized to execute and deliver this agreement.
4. I/We confirm that the funds for such investments will be paid from my/my company's bank account.
5. I/We declare that I/we have read and understood the provisions set out in this application form, the Terms of Business and additional terms attached. I/We hereby instruct and authorize you to carry out our instructions as indicated on this application form. I/We agree and acknowledge that the value of the investment(s) may go down and up and that instructing you to apply for any investments on our behalf confirms that I/we am/are prepared to accept this risk and any other associated risks. You will have no liability whatsoever to me/us for any losses I/we may suffer as a result of the performance of any investment(s). If any foreign exchange conversion is involved in the abovementioned transaction(s), I/we agree to accept the foreign exchange rates contracted by you.
6. I/We confirm that the shares of securities are not being directly or indirectly acquired by or on behalf of any US person or by any other persons prohibited by the law of any relevant jurisdictions from acquiring the shares of securities and that we will not sell, transfer or otherwise dispose of any such shares directly or indirectly, to or for the account of any US person or in the United States of America, or to any person prohibited by the law of the relevant jurisdictions from acquiring the shares of securities.
7. "US person" means the US person who is subject to US federal income tax laws or the US person as defined by Regulation S of the US Securities Act of 1933, the US Inland Revenue Service and the US Commodity Futures Trading Commission.
8. "Law and Regulation" includes law, statute, ordinance (including the Securities and Futures Ordinance and its subsidiary legislation), regulation, code (including the Securities and Futures Commission's Code of Conduct) or other principle, directive, order, guidance note or circular of any competent statutory or regulatory authority of Hong Kong or elsewhere.
9. Words and expressions defined in the Terms of Business set out in the Terms of Business shall have the same meanings when used in this application form (including appendices) unless otherwise defined or the context requires otherwise.
10. This application form, including its appendices, is not an agreement for the provision of financial advisory services or financial planning services
11. I/We agree that all of our authorized persons shall observe and comply with all applicable law and regulation, the provisions of this application form and the Terms of Business. I/We shall be liable for any breach or non-compliance by any of those authorized persons of all applicable law and regulation, any term of this application and the Terms of Business. All warranties, indemnities, obligations and promises granted or undertaken by me/us, under this application form and the Terms of Business in relation to my/our own acts and omissions shall extend to the acts and omissions of any of those authorized persons.
12. I/We confirmed that I/we have received, read, understood and that I/we agree to be bound by this application form, the Terms of Business and additional terms attached with the application form.
13. In connection to Section 8 – Knowledge of Derivative Products, I/We fully understand that PingPong Intelligence will base on the information provided in Section 6 for assessing my/our general knowledge of the derivative and I/we may be required to complete Section 6 again in future for re-assessment. I/We fully understand that PingPong Intelligence will be unable to assess my/our suitability of requested services and/or products if I/we failed to provide accurate, true and complete information in Section 6. I/We hereby confirm and declare that all the information provide in Section 6 is true, complete and accurate and undertake to inform PingPong Intelligence any changes of the information provided at once.
14. I/We expressly agree that only one original copy of this application form (and the application form's appendices, where applicable) will be executed and that this will be retained by you. I/We agree that I/we shall notify promptly you in writing about any other change to any of the information provided in this application form, and/or in the relevant document(s) originally forwarded to you.

15. I/We confirm that the risk disclosure statements are provided to me/us, and I/we have been invited to read the risk disclosure statements in Appendix 2 of the Terms of Business, ask questions that I/we may have, and take independent advice if we so wish.
16. I/We agree to pay the fees and charges set out in Schedule of Standard Fees and Charges of the Terms of Business in appendix 3 or such other fees and charges as you may prescribe from time to time.
17. I/We agree to you deducting from our account the fees and charges payable to you, as set out in Fees and Charges of the Terms of Business clause 10, and for you to remit these fees and charges to service providers.
18. I/We hereby instruct and authorize you to carry out our instructions as indicated on this form. I/We am/are fully aware of the investment risks, and I/we am/are prepared to accept these risks.
19. I/We hereby agree and undertake to indemnify and hold you harmless against any losses, damages, costs and expenses, legally or otherwise, that it may sustain, suffer or incur as a result of this application.
20. I/We acknowledge and agree that (a) the information contained in this form is collected and may be kept by PingPong Intelligence for the purpose of automatic exchange of financial account information, and (b) such information and information regarding the account holder and any reportable account(s) may be reported by PingPong Intelligence to the Inland Revenue Department of the Government of the Hong Kong Special Administrative Region and exchanged with the tax authorities of another jurisdiction or jurisdictions in which the account holder any be resident for tax purposes, pursuant to the legal provisions for exchange of financial account information provide under the Inland Revenue Ordinance (Cap.112).

**Signature and Date**

X

Authorized Signor Signature

Print Name

Date (DD/MM/YYYY)

X

Authorized Signor Signature

Print Name

Date (DD/MM/YYYY)

**Company Chop (if applicable)**



**Witness and Declaration by PingPong Intelligence Limited Licensed Representative**

I, \_\_\_\_\_, a licensed representative (SFC registration CE No. \_\_\_\_\_) of PingPong Intelligence Limited, hereby witness and declare that I have:

- 1) Identified the customer who executed this application form, and that I have reviewed the original of identification documents of the client;
- 2) Provided the client with Risk Disclosure Statements printed in both English and Chinese; and
- 3) Invited the client to read the Risk Disclosure Statements, ask questions and take independent advice, if the client wishes.

Licensed Representative Signature

Date (DD/MM/YYYY)

For and on behalf of PingPong Intelligence Limited, I acknowledge and agree to the above.

Responsible Officer Signature

CE No.

Date (DD/MM/YYYY)